

I. S-SIGNATURE EXAMPLES, 37 CFR 1.4(d)(2) effective September 21, 2004

**A. BY INVENTORS, AFFIANTS (e.g., §§ 1.131 & 1.132), ASSIGNEES
AND PRACTITIONERS SIGNING AS INVENTORS**

	<u>SIGNATURE TYPE</u>	<u>SIGNATURE</u>	<u>TREATMENT</u>	<u>PREFERRED</u>
1.	S-Signature within forward slashes, name below	<u>/John T. Smith/</u> John T. Smith	Proper Signature Treat as signed, § 1.4(d)(2).	✓
2.	S-Signature with spaces within forward slashes, name below	<u>/ John T. Smith /</u> John T. Smith	Proper Signature Treat as signed, § 1.4(d)(2).	
3.	S-Signature within forward slashes, name below but no line under the S-Signature	/John T. Smith/ John T. Smith	Proper Signature Treat as signed, § 1.4(d)(2), as a line is not required, although it is recommended.	
4.	Script font S-Signature within slashes, name below	<u>/John T. Smith/</u> John T. Smith	Proper Signature Treat as signed, § 1.4(d)(2).	
5.	Name above, S-Signature within slashes, below	<u>John T. Smith</u> /John T. Smith/	Proper Signature Treat as signed, § 1.4(d)(2).	
6.	S-Signature within slashes, name on right side	/John T. Smith/ John T. Smith	Proper Signature Treat as signed, § 1.4(d)(2).	
7.	S-Signature within slashes, name below, both signature and name have typos	<u>/Johon T. Smith/</u> John T. Smmith	Proper signature Signer identifiable, typo should be noted in record.	
8.	S-Signature without slashes, name below	<u>John T. Smith</u> John T. Smith	Improper signature Treat as unsigned due to lack of slashes, § 1.4(d)(2)(i).	
9.	Script font S-Signature without slashes, name below	<u>John T. Smith</u> John T. Smith	Improper signature Treat as unsigned due to lack of slashes, § 1.4(d)(2)(i).	
10.	S-Signature within double slashes, name below	<u>//John T. Smith//</u> John T. Smith	Improper signature Treat as unsigned due to extra slashes, § 1.4(d)(2)(i).	
11.	S-Signature within slashes	/John T. Smith/	Improper signature Treat as unsigned as no name provided, § 1.4(d)(2)(iii).	
12.	Graphic symbol S-Signature within slashes, name below	<u>/☺/</u> John T. Smith	Improper signature Treat as unsigned due to use of graphic symbol, § 1.4(d)(2)(i).	
13.	Improper punctuation symbols in S-Signature within slashes, name below	<u>/Good?Great!!!/</u> John T. Smith	Improper signature Treat as unsigned due to use of ? and ! symbols, § 1.4(d)(2)(i).	

NOTE: Signatures by assignees are also required to be by: 1) an appropriate party of the assignee to sign;
2) supported by evidence that the proper assignee is submitting the document. The assignee of the entire interest, or all partial assignees, must sign any correspondence (§§ 3.71(b) & (c)).

I. S-SIGNATURES EXAMPLES (continued)

B. BY PRACTITIONERS OF RECORD OR ACTING IN A REPRESENTATIVE CAPACITY

	<u>SIGNATURE TYPE</u>	<u>SIGNATURE</u>	<u>TREATMENT</u>	<u>PREFERRED</u>
1.	S-Signature & reg no. within slashes, name below	<u>/John T. Smith, Reg.# 999999/ John T. Smith</u>	Proper Signature Treat as signed, § 1.4(d)(2).	✓
2.	S-Signature & reg no. within slashes, name below but no line under S-Signature	<u>/John T. Smith, Reg.# 999999/ John T. Smith</u>	Proper Signature Treat as signed, § 1.4(d)(2), as a line is not required, although it is recommended.	
3.	Script font S-Signature within slashes, name & reg. no. below	<u>/John T. Smith/ John T. Smith, Reg. #999999</u>	Proper Signature Treat as signed, § 1.4(d)(2).	
4.	S-Signature within slashes, name below with reg. no.	<u>/Customer Number 555555/ John T. Smith, Reg. No. 999999</u>	Proper Signature Treat as signed, § 1.4(d)(2).	
5.	S-Signature within slashes, name below with reg. no.	<u>/John T. Smith/ John T. Smith, Reg. #999999</u>	Proper Signature Treat as signed, § 1.4(d)(2).	✓
6.	S-Signature within slashes, and with reg. no. to the right, name below	<u>/John T. Smith/ Reg. #999999 John T. Smith</u>	Proper Signature Treat as signed, § 1.4(d)(2).	✓
7.	Reg. no. to left of S-Signature within slashes, name below	<u>Reg. #999999 /John T. Smith/ John T. Smith</u>	Proper Signature Treat as signed, § 1.4(d)(2).	
8.	Name above, S-Signature and reg. no. within slashes below	<u>John T. Smith /John T. Smith, Reg.# 999999/</u>	Proper Signature Treat as signed, § 1.4(d)(2).	
9.	S-Signature and reg. no. within slashes, name on right side	<u>/John T. Smith, Reg.# 999999/ John T. Smith</u>	Proper Signature Treat as signed, § 1.4(d)(2).	
10.	S-Signature and reg. no. within slashes, name below; John T. Smith signing his signature for Bill Jones (whose name appears first)	<u>Bill Jones by /John T. Smith, Reg.# 999999/ John T. Smith</u>	Proper Signature Treat as signed, § 1.4(d)(2). Acceptable because of John T. Smith's signature, for himself.	
11.	S-Signature within double slashes, name and reg. no. below	<u>//John T. Smith// John T. Smith, Reg. #999999</u>	<u>Improper signature</u> Treat as unsigned due to extra slashes, § 1.4(d)(2)(i).	
12.	S-Signature within back slashes, name and reg. no. below	<u>\John T. Smith\ John T. Smith, Reg. #999999</u>	<u>Improper signature</u> Treat as unsigned due to back slashes instead of forward slashes, § 1.4(d)(2)(i).	
13.	S-Signature without slashes, name and reg. no. below	<u>John T. Smith John T. Smith, Reg. #999999</u>	<u>Improper signature</u> Treat as unsigned due to lack of slashes, § 1.4(d)(2)(i).	

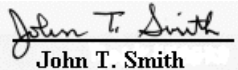
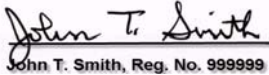
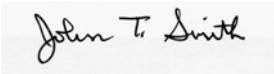
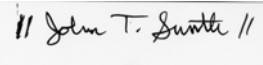
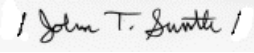
I. EXAMPLES OF S-SIGNATURES (continued)

B. BY PRACTITIONERS OF RECORD OR ACTING IN A REPRESENTATIVE CAPACITY (continued)

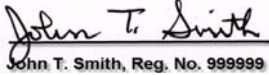
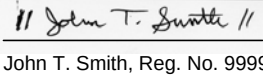
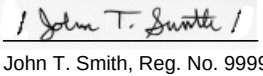
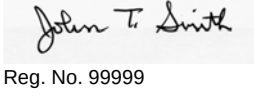
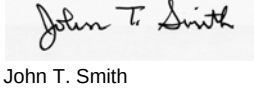
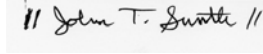
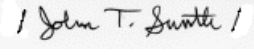
- | | | | |
|-----|--|---|--|
| 14. | Script font S-Signature without slashes, name and reg. no. below | <u>John T. Smith</u>
John T. Smith, Reg. #999999 | <u>Improper signature.</u>
Treat as unsigned due to lack of slashes, § 1.4(d)(2)(i). |
| 15. | Graphic symbol S-Signature within slashes, name and reg. no. below | <u>/☺face/</u>
John T. Smith, Reg #999999 | <u>Improper signature.</u>
Treat as unsigned due to usage of graphic symbol, § 1.4(d)(2)(i). |
| 16. | Improper punctuation symbols in S-Signature within slashes, name and reg. no. below | <u>/Good?Great!!!/</u>
John T. Smith, Reg #999999 | <u>Improper signature.</u>
Treat as unsigned due to use of ? and ! symbols, § 1.4(d)(2)(i). |
| 17. | S-Signature within slashes and reg. no. to right | <u>/John T. Smith/</u> Reg #999999 | <u>Improper signature.</u>
Treat as unsigned as no name provided, § 1.4(d)(2)(iii). |
| 18. | S-Signature within slashes and name below | <u>/John T. Smith/</u>
John T. Smith | <u>Improper signature.</u>
Treat as unsigned as no registration number provided, § 1.4(d)(2)(ii). |
| 19. | S-Signature and reg. no. with only 1 slash, name below.
John T. Smith signing his signature for Bill Jones (whose name appears first) | Bill Jones
<u>by/ John T. Smith, Reg.# 999999</u>
John T. Smith | <u>Improper signature.</u>
Treat as unsigned as no slash mark is after signature, § 1.4(d)(2)(i). |
| 20. | S-Signature and reg. no. within slashes, John T. Smith signing his signature for Bill Jones (whose name appears first) | Bill Jones
<u>by /John T. Smith, Reg.# 999999/</u> | <u>Improper signature.</u>
Treat as unsigned as no signer name provided, § 1.4(d)(2)(iii). |

II. HANDWRITTEN SIGNATURE EXAMPLES, 37 CFR 1.4(d)(1)

A. BY INVENTORS, AFFIANTS, ASSIGNEES & PRACTITIONERS OF RECORD EXAMPLES

	<u>SIGNATURE TYPE</u>	<u>SIGNATURE</u>	<u>TREATMENT</u>	<u>PREFERRED</u>
1.	Handwritten with name below		Proper signature No change, recommended for non-practitioners to include name.	✓
2.	Handwritten with name and registration number below		Proper signature No change, recommended for practitioners to include name and reg. no.	✓
3.	Handwritten		Proper signature No change, name not required, § 1.4(d)(1).	
4.	Handwritten within multiple slashes		Proper signature No change, name not required, slashes not required or prohibited, §1.4(d)(1).	
5.	Handwritten within slashes		Proper signature No change, name not required, slashes not required or prohibited, §1.4(d)(1).	

B. BY PRACTITIONERS SIGNING IN A REPRESENTATIVE CAPACITY (37 CFR 1.34) (NOT OF RECORD) EXAMPLES

1.	Handwritten		Proper signature See § 1.4(d)(1). Name in addition to signature is required by § 1.34, see 1283 OG 148 (June 22, 2004).	✓
2.	Handwritten within multiple slashes		Proper signature Slashes not required or prohibited, §1.4(d)(1). Name in addition to signature is required by § 1.34, see 1283 OG 148 (June 22, 2004).	
3.	Handwritten within slashes		Proper signature Slashes not required or prohibited, §1.4(d)(1). Name in addition to signature is required by § 1.34, see 1283 OG 148 (June 22, 2004).	
4.	Handwritten with reg. no.		Improper signature Treat as unsigned; no name provided as required by § 1.34, see 1283 OG 148 (June 22, 2004).	
5.	Handwritten with name		Improper signature Treat as unsigned; no registration number provided as required by § 1.34, see 1283 OG 148 (June 22, 2004).	
6.	Handwritten with slashes		Improper signature Treat as unsigned; no name and registration number provided as required by § 1.34, see 1283 OG 148 (June 22, 2004).	
7.	Handwritten with slashes		Improper signature Treat as unsigned; no name and registration number provided as required by § 1.34, see 1283 OG 148 (June 22, 2004).	

All the signatures in this section for 37 CFR 1.4(d)(2) and (3), are for inventors.

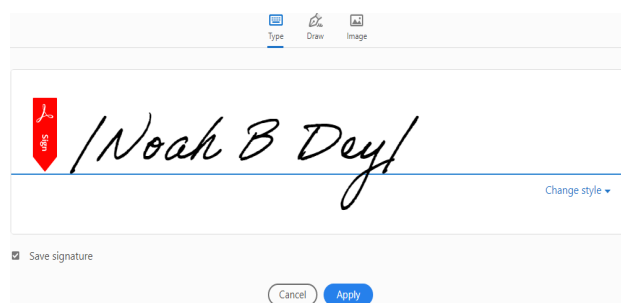
Note: a registered practitioner when signing pursuant to 37 CFR 1.33(b) must include his/her registration number either as part of the S-signature, or immediately below or adjacent the S-signature to be proper.

SIGNATURE

SIGNATURE TYPE and TREATMENT

1. Proper Signature [37 CFR 1.4(d)(2)]

1(a). Creation of a Signature



This is an example of creating an S-signature (37 CFR 1.4(d)(2)) made with a commercial platform. The person typed their name including forward slashes with a script font to create the signature, which will be personally inserted on a document adjacent their printed name.

1(b). Proper Signature

LEGAL NAME OF INVENTOR

Inventor: Noah B. Dey
Signature: /Noah B Dey/

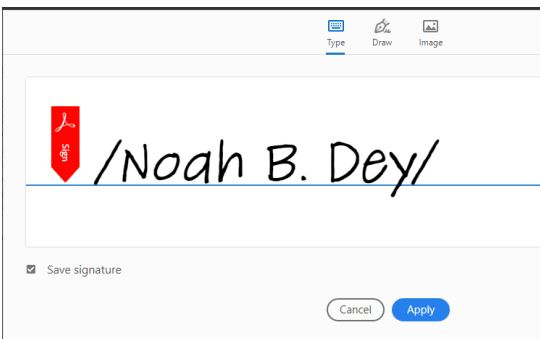
This is an example of the S-signature made in 1(a) above that has been applied by the person signing to a document adjacent to their printed name.

SIGNATURE

SIGNATURE TYPE and TREATMENT

2. Proper Signature [37 CFR 1.4(d)(2)]

2(a). Creation of a Signature



This is an example of creating an S-signature (37 CFR 1.4(d)(2)) made with a commercial platform. The person typed their name including forward slashes with a non-script font to create the signature, which will be personally inserted on a document adjacent their printed name.

2(b). Proper Signature

LEGAL NAME OF INVENTOR

Inventor: Noah B. Dey

Signature: /Noah B. Dey/

This is an example of the S-signature made in 2(a) above that has been applied by the person signing to a document adjacent to their printed name.

3. Improper Signature [37 CFR 1.4(d)(2)]

LEGAL NAME OF INVENTOR

Inventor: Noah B. Dey

Signature: /Noah B. Dey??/

This is an example of an improper S-signature (37 CFR 1.4(d)(2)) made with a commercial platform. The S-signature with two forward slashes was inserted by the signer on a document adjacent their printed name, but it included two question marks. It is treated as unsigned due to use of “?” symbols.

SIGNATURE

SIGNATURE TYPE and TREATMENT

4. Proper Signature [37 CFR 1.4(d)(2)]

4(a). Creation of a Signature

Adopt Your Signature

Confirm your name, initials, and signature.

* Required

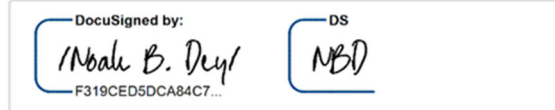
Full Name*

/Noah B. Dey/

SELECT STYLE

DRAW

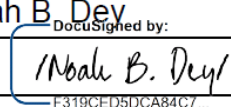
PREVIEW



By selecting Adopt and Sign, I agree that this mark will be the electronic representation of my signature. Recipients of electronic documents I sign will be able to see my DocuSign ID, which will

This is an example of creating an S-signature (37 CFR 1.4(d)(2)) made with a commercial platform. The person typed their name including the forward slashes to create the signature, which will be personally inserted on a document adjacent their printed name.

4(b). Proper Signature

LEGAL NAME OF INVENTOR	
Inventor:	Noah B. Dey
Signature:	
Note: An application data sheet (PTO/SB/14 or equivalent) must be previously filed. Use an additional PTO/AIA/	

This is an example of the S-signature made in 4(a) above that has been applied by the person signing to a document adjacent to their printed name.

5. Improper Signature [37 CFR 1.4(d)(2)]

LEGAL NAME OF INVENTOR	
Inventor:	Noah B. Dey
Signature:	
Note: An application data sheet (PTO/SB/14 or equivalent), including previously filed. Use an additional PTO/AIA/01 form for each	

This is an example of an improper S-signature (37 CFR 1.4(d)(2)) made with a commercial platform. The S-signature with forward slashes was created and inserted on a document by the person signing, but the printed name, which is required, is not present. The printed name may be added by another person to make it a proper S-signature.

SIGNATURE

SIGNATURE TYPE and TREATMENT

6. Proper Signature [37 CFR 1.4(d)(2)]

6(a). Creation of a Signature

The screenshot shows a DocuSign interface. On the left is a 'FIELDS' sidebar with options: Signature, Initial, Stamp, Date Signed, Name, First Name, and Last Name. The 'Full Name*' field is populated with 'Noah Dey'. Below this are 'SELECT STYLE' and 'DRAW' tabs. The 'PREVIEW' section shows two options: 'DocuSigned by:' with a stylized signature and a DocuSign ID 'F319CED5DCA84C7...', and a 'DS' logo with the initials 'MP'. A disclaimer at the bottom states: 'By selecting Adopt and Sign, I agree that this mark will be the electronic representation of my signature. I agree that this mark will be the electronic representation of my signature. I agree that this mark will be the electronic representation of my signature.'

This is an example of creating an S-signature (37 CFR 1.4(d)(2)) with a commercial platform. The person typed their name to create the signature, which would be personally inserted on a document between two forward slashes, adjacent their printed name.

6(b). Proper Signature

The example shows a document form with the following fields: 'LEGAL NAME OF INVENTOR', 'Inventor: Noah B. Dey', and 'Signature: / Noah B. Dey /'. The signature is a stylized 'Noah B. Dey' written between two forward slashes. Below the signature field is a DocuSign ID 'F319CED5DCA84C7'. A note at the bottom states: 'Note: An application data sheet (PTO/SB/14 or equivalent) been previously filed. Use an additional PTO/AIA/01 form'.

This is an example of an S-signature (37 CFR 1.4(d)(2)), wherein the paper to be signed was prepopulated with the two forward slashes. The signer used a commercial platform to create the signature and personally inserted the signature name on the document between the forward slashes, adjacent to their printed name.

7. Proper Signature [37 CFR 1.4(d)(2)]

/Daniel T Dey/
Daniel T. Dey



This is an example of an S-signature (37 CFR 1.4(d)(2)) with two forward slashes. The signer used a commercial platform and personally typed their name between the two forward slashes and inserted their signature on the document adjacent their printed name. The commercial platform inserted a bar code on the document adjacent the S-signature. The bar code or symbol does not affect the S-signature.

SIGNATURE

SIGNATURE TYPE and TREATMENT

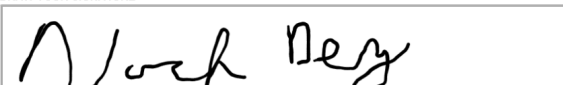
8. Proper Signature [37 CFR 1.4(d)(3)]

8(a). Creation of a Signature

Full Name* Initials*

SELECT STYLE **DRAW**

DRAW YOUR SIGNATURE



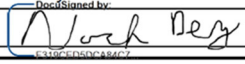
By selecting Adopt and Sign, I agree that this mark will be the electronic representation of my signature or initials whenever I or recipients of electronic documents I sign will be able to see my DocuSign ID, which will include my email address.

This is an example of a graphic representation of a handwritten signature (37 CFR 1.4(d)(3)) for documents submitted via the Office’s electronic filing system. The signature was created by the signer on a commercial platform using a stylus.

8(b). Proper Signature

LEGAL NAME OF INVENTOR

Inventor: Noah B. Dey Date _____

Signature: 

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventor been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

The signature was created using a commercial platform and inserted on the document by the signer. The paper is submitted to the USPTO via the Office’s electronic filing system. It does not require forward slashes.

9. Proper Signature [37 CFR 1.4(d)(3)]



Daniel T. Dey



A 1 2 3 4 5

This is an example of a graphic representation of a handwritten signature (37 CFR 1.4(d)(3)) for documents submitted via the Office’s electronic filing system. The signature was created by the signer on a commercial platform using a stylus. The commercial platform inserted a bar code on the document adjacent the S-signature. The bar code or symbol does not affect the signature.